

# **Motion planning and control techniques for driver assistance systems and autonomous vehicles**

Antoine Tordeux

Forschungszentrum Jülich and Wuppertal University  
[a.tordeux@fz-juelich.de](mailto:a.tordeux@fz-juelich.de)

# Overview

## Introduction

## Motion planning techniques

- Functional architecture of automated vehicles
- Sensing and perception
- Motion planning
- Actuation control

## Control and safety

- Stability and homogenisation
- Functional safety

## Conclusion

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# Introduction

**Road vehicles** are becoming increasingly automated (VDA, 2015).

Advanced electric and electronic (E/E) driver assistance systems (ADAS)

Connected and automated vehicles (autonomous car)

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Advanced electric and electronic (E/E) driver assistance systems (ADAS)

Connected and automated vehicles (autonomous car)

## Motivations

- ▶ **Safety** More than 90% of road accidents attributed to driver error (with 31% involving legally intoxicated drivers, and 10% from distracted drivers)
- ▶ **Performance** Reduction of driver reaction time (short distance spacing, platooning) and optimal route choice (efficient use of the network)
- ▶ **Mobility** For children, old or disable persons with no driving licence; development of share use models and cost reduction of the road transportation
- ▶ **Environment** Efficient (smooth) driving and routing (less jam) reducing fuel consumption and pollutant emission

## Automation classification

### Automation level classification for road vehicles (SAE, 2014)

Automation level ↓	<b>L0</b> Automated systems have no vehicle control, but may issue warnings <b>No automation</b>	
	<b>L1</b> Assistance systems (ACC, lane keeping, ...) <b>Assisted</b>	Under driver supervision
	<b>L2</b> Partial longitudinal and lateral controls for specific situations <b>Partial automation</b>	
	<b>L3</b> Longitudinal and lateral controls for specific situations <b>Conditional automation</b>	
	<b>L4</b> Full automation for all situations in a defined use case <b>High automation</b>	Without supervision
	<b>L5</b> Full automation for all situations of a given journey <b>Full automation</b>	

## Projections of development

- ▶ **Manufacturers**: L3 level by 2020 (Tesla, Google, Nissan, Volvo, BMW, ... )
- ▶ **Information services companies**
  - Level 3 by 2020, level 4 by 2025 and level 5 by 2030 (IHS Markit)
  - L3, L4 and L5 Penetration rates of 100, 75 and 25% by 2030 (KPMG)
  - 75% of light-duty vehicle sales automated by 2035 (Navigant)
- ▶ **Insurance institutes**
  - All cars may be automated by 2030 (III)
  - Reduction from 30 to 80 % of the accidents (PWC Insurance Monitor)
- ▶ **Research** Survey during the Transportation Research Board Workshops on Road Vehicle Automation (around 500 experts, 2014) :

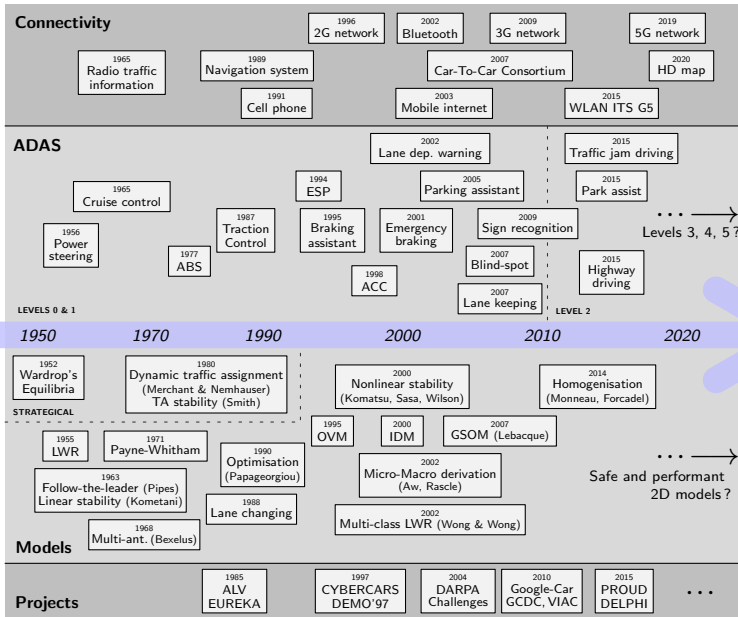
*When will automated vehicles take children to school ?*

→ More than half expect 2030 at the very earliest; 20% said not until 2040; 10% never expect it.

# History

Company

Research





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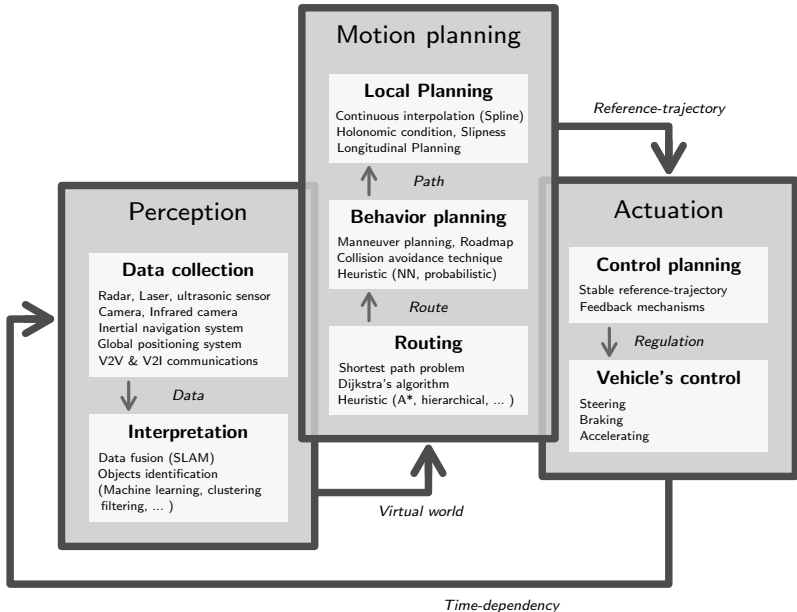
## Functional architecture of the motion planning

Automated vehicles are **mission-based** and have a **functional architecture** (Behere und Torngren, 2015; Paden et al., 2016).

### Classical components of the autonomous driving :

1. **Perception** Collection, fusion and interpretation of the sensor (radar, camera) and connectivity (V2V, V2I) data  
→ *Building of a virtual world*
2. **Motion planning** Routing choice and determination of continuous and collision-free reference trajectories  
→ *Calculation of short and safe feasible paths*
3. **Actuation** Determination of stable commands to the vehicle to follow the reference trajectory  
→ *Steering, braking and acceleration rate controls*

# Functional architecture of automated vehicles

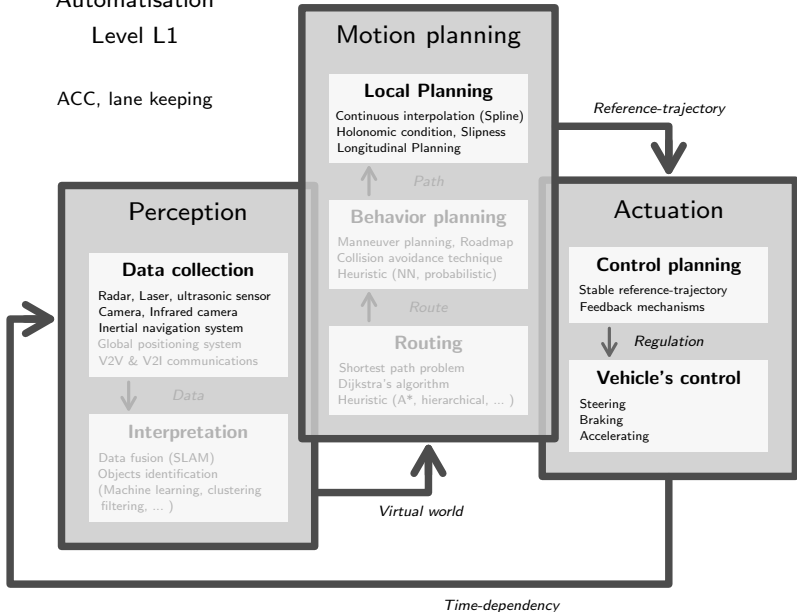


# Functional architecture of automated vehicles

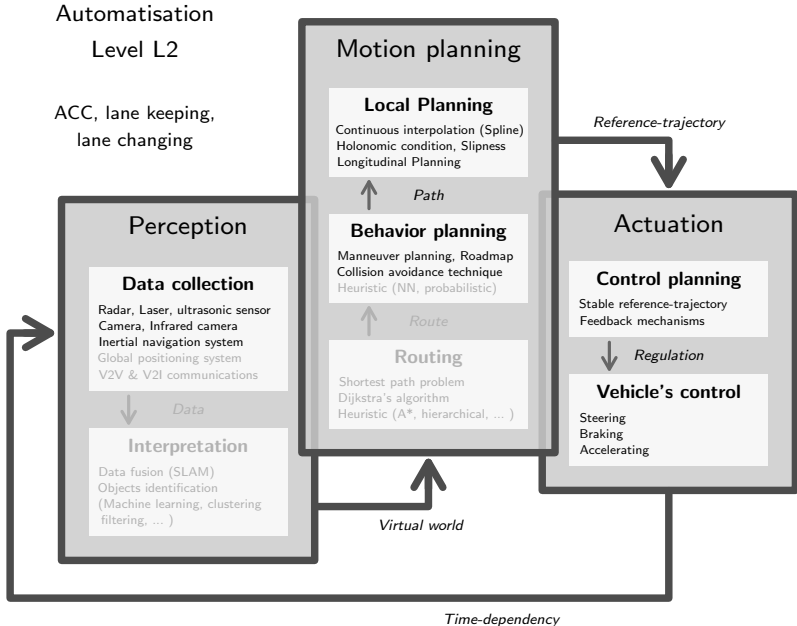
Automatisation

Level L1

ACC, lane keeping



# Functional architecture of automated vehicles

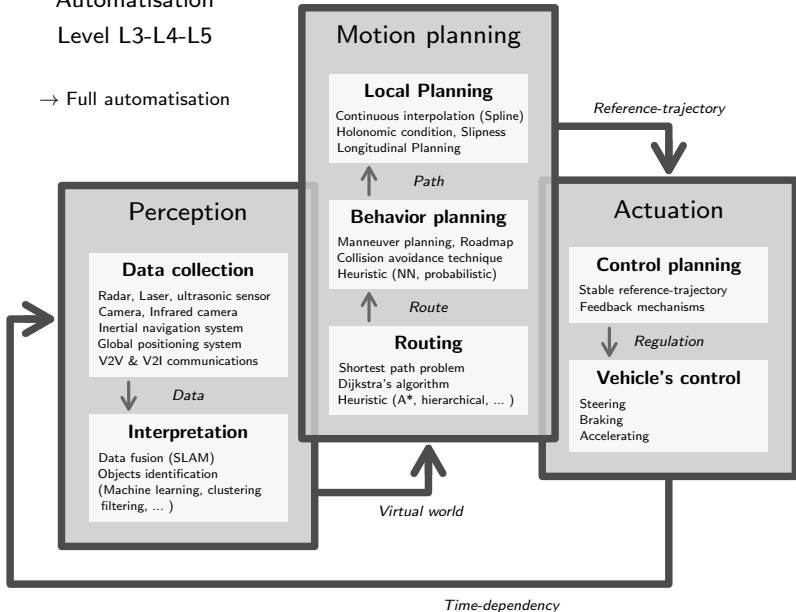


# Functional architecture of automated vehicles

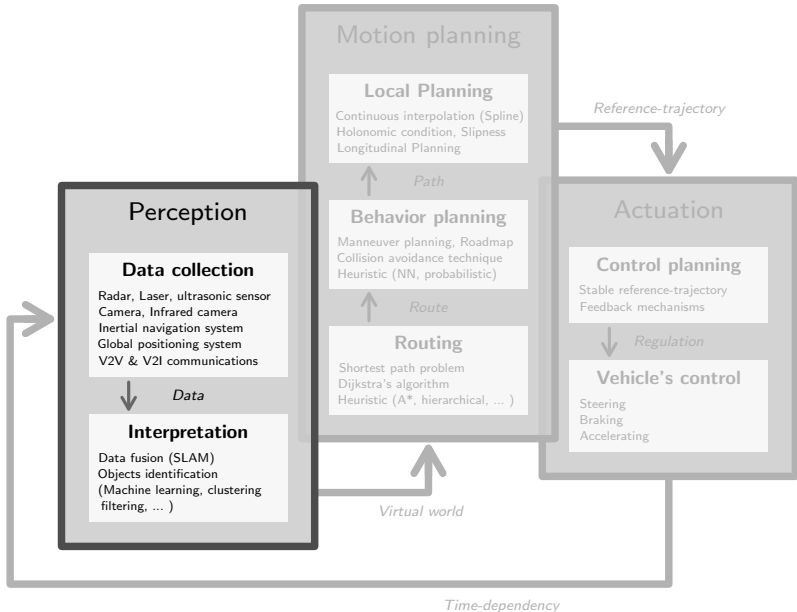
Automatisation

Level L3-L4-L5

→ Full automatisatisation



# Functional architecture of automated vehicles



- └ Motion planning techniques
- └ Sensing and perception

## Sensor and communication technologies

### Communication technology

- ▶ **Vehicle to vehicle** (V2V) communications (own frequency, *Car to Car Communication Consortium*)
- ▶ **Vehicle to infrastructure** (V2I) communications (information to the driver/vehicle, centralized regulation)

### Sensor technology

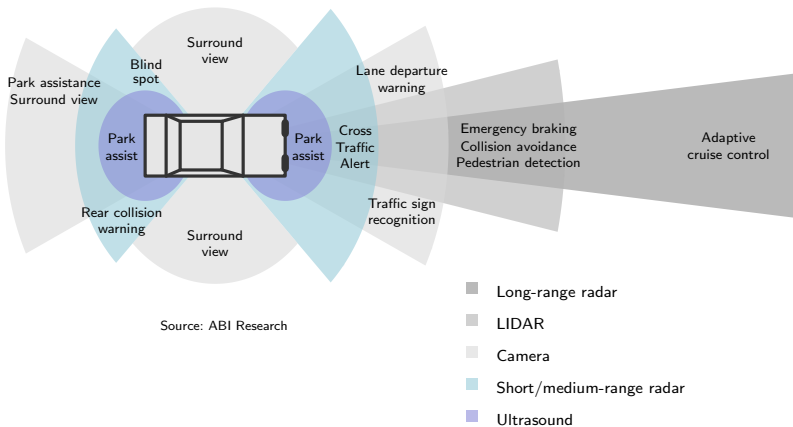
- ▶ **Cameras** coupled to computer vision to monitor traffic signals, road markings or to detect obstacles or turns
- ▶ **Radar (LIDAR), sonar, laser and ultrasound** to evaluate distances and relative speed with potential obstacles around the vehicle
- ▶ **Global Position System** (GPS) to determinate vehicle location
- ▶ **Inertial navigation systems** such as accelerometers and gyroscopes to continuously calculate acceleration and rotation

Exogenous

Endogenous



# Exteroceptive sensor technologies for automated vehicles



## Sensing and perception

**Metric knowledge** : measuring distances and scenes around the vehicle (sensing)

*Small speed* : short-range sensing / *Large speed* : long-range in high resolution  
(Angular resolution  $< 0.1^\circ$  at 130m if speed  $> 100\text{km/h}$  (Blosseville, 2015))

**Conceptual knowledge** : identifying lanes, infrastructure, neighbor vehicles, pedestrians or obstacles and their evolution (computer vision – filtering, machine learning, ... )

Common robotic adage:

« *Sensing is easy, perception is difficult* »

**Sensing** → **Clustering** → **Identification** → **Tracking**

*True negative* (ghost objects) vs *false positive* (blindness)

**Dynamic sensor/data fusion** : SLAM (Simultaneous Localisation and Mapping) with geo-referenced maps (single lanes geometry and topology; Thrun et al. 2005)

## Example: Map-aided Evidential Grids for Driving Scene Understanding

Kurdej et al. 2015

**Occupancy grids:** Description of the environment in discrete cells

Three evidential occupancy grids:

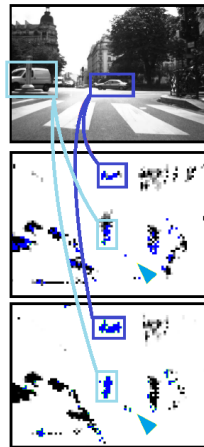
└ **Prior information** (map)

└ **Sensor acquisition**

└ **Perception** (fuzzy logic)

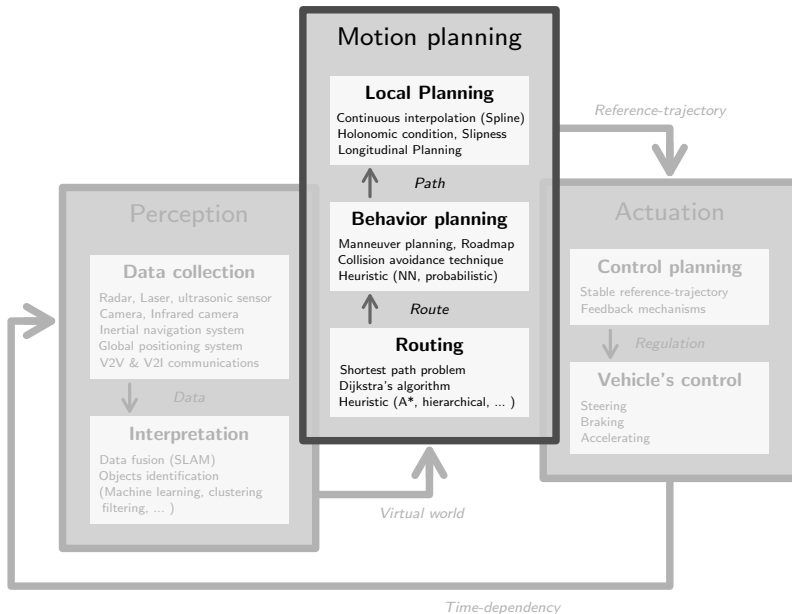
Modelling of the world using a **tessellated representation** of objects such as

- ▶ Free navigable space
- ▶ Free non-navigable space
- ▶ Mapped infrastructure (buildings)
- ▶ Unmapped infrastructure
- ▶ Stopped objects (obstacles)
- ▶ mobile moving objects

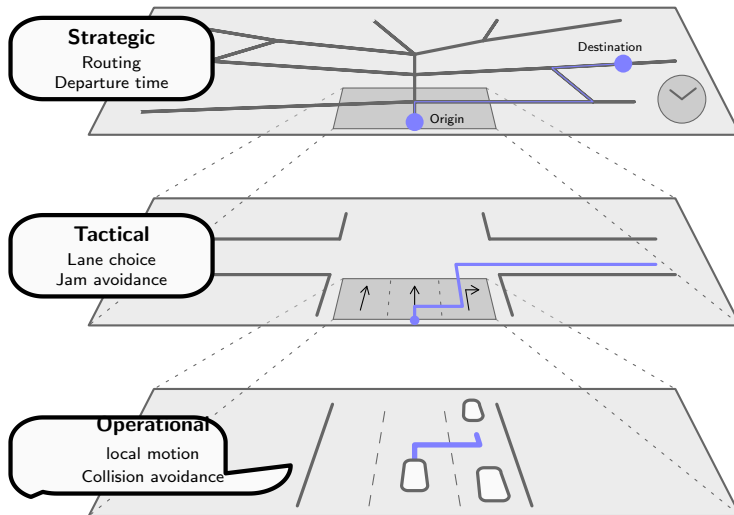


(Moras et al. 2011)

# Functional architecture of automated vehicles



# Analogy to classical modelling scales in transportation systems



# Routing

## Shortest path problem in a positive real-valued directed graph

**Static problem:** polynomial complexity

**Time-dependent formulation:** NP-hard problem (use of heuristics) – Dynamic (numerical) algorithm or reactive algorithm looking for solution at any time

- ▶ **Dijkstra's algorithm** Complexity in  $O(V^2)$ : not practicable in real time
- ▶ **A-Star heuristic** Use of an heuristic cost function guiding the search
- ▶ **Decomposition** Network decomposition in subsets or principal components
- ▶ **Preprocessed method** Preprocessing of balanced partition of the graph
- ▶ **Hierarchical method** Weights according to the hierarchy of road networks
- ▶ **Sampling based** Monte-Carlo techniques for the finding of the shortest path
- ▶ **Combination** Hybrid algorithms combining different methods
- ▶ ... (see Gonzalez et al. 2016 or Bast et al. 2015 for surveys)

## Behavior planning

**Finding of an efficient and safe (collision-free) path** in a dynamical environment with moving obstacles

**Understanding of the current driving situation**  $\rightsquigarrow$  Cognitive Vehicle

**Time-dependent** complex problems

- ▶ **Manoeuvre-based**      Categorical driving situations: following, lane-keeping, overtaking...
- ▶ **Variation methods**      Formulation of the problem as an optimisation problem
- ▶ **Roadmap**      Borrowed from robotic: visibility graph, Voronoi diagram...
- ▶ **Potential fields**      Gradient problems with attractive (dest.) and repulsive (obstacle) fields
- ▶ **Velocity obstacle**      Determination of collision-free cones over finite time horizons
- ▶ **Heuristic**      Neuronal networks, Simulated annealing, ant/swarm optimisation...
- ▶ ... (see Masehian 2016, Tang et al. 2012, Kamil 2015 or Paden et al. 2016 for surveys)

## Local planning

Determination of the **reference trajectory**: **smooth trajectory dynamically-feasible** for the vehicle

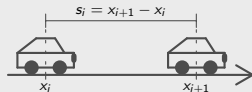
### Interpolating curve planners (curvature optimisation)

- ▶ Regular interpolation of the reference path
- ▶ Clothoid, polynomial, Bézier, spline, ...



### Speed/acceleration planners $\ddot{x}_i = F(s_i, \dot{x}_i, \dot{x}_{i+1}, \dots)$

- ▶ Comfortable and safe following model
- ▶ Adaptive cruise control (ACC)



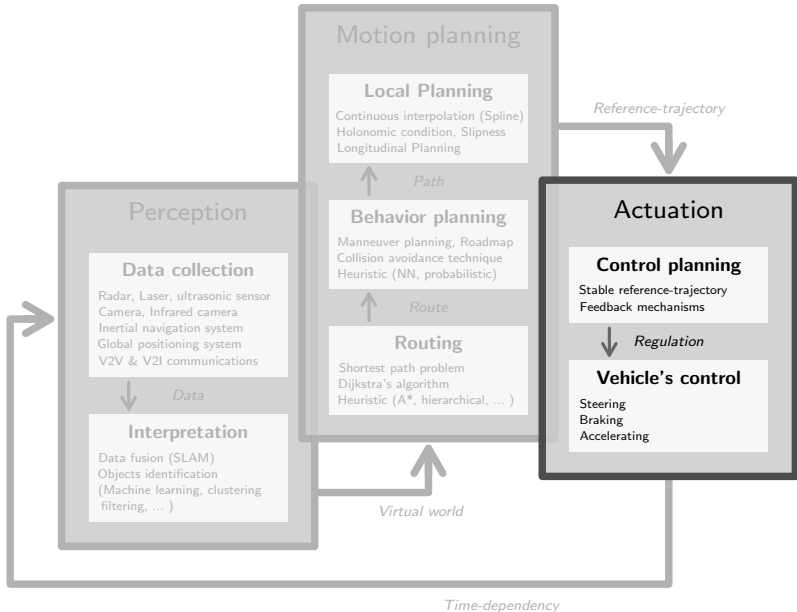
### Non-holonomic driving constraints $m\ddot{p}_c = F_f + F_r$

- ▶ Kinematic single track constraints
- ▶ Inertial and slipness constraints





# Functional architecture of automated vehicles



## Actuation control

### Actuation control in two steps :

1. Calculation of a command to follow the reference trajectory  $(x_{ref}, v_{ref})(t)$

→ Feedback mechanisms fb (e.g. relaxation processes)

$$\ddot{x}(t + T_a) = \text{fb}((x, x_{ref}, \dot{x}, v_{ref})(t))$$

with  $T_a$  the mechanical application time

2. Effective mechanical control of the vehicle

→ Steering, braking and accelerating controls

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# Stability

Motion planning have to describe **comfortable and safe dynamics**

→ **Stable and collision-free dynamics**

► **Stability of the route choice** (Smith, 1984)

- Route choice robust to perturbation / Non-oscillating route choice
- Motion planning / Routing step

► **Stability of the reference trajectory**

- Attractive reference trajectory / Exponential stability  $\|x(t) - x_{ref}(t)\| \leq Ke^{-\kappa t}$
- Actuation / Control planning

► **Local and global stability of the homogeneous solution**

- Congested state – Stability of the homogeneous solutions where all vehicle speed  $\dot{x}_i(t) = v$  and spacing  $x_{i+1}(t) - x_i(t) = d$  are equal
- Motion planning / Local planning

## Stability of the homogeneous solution

Control of the ACC-systems: description of **stable and collision-free dynamics**<sup>1</sup>

→ **Linear stability theory for dynamical systems**

### ► Local stability

- Following behavior behind a vehicle moving at constant speed
- Stable and collision-free (over-damped convergence)

One vehicle



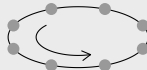
### ► String-stability

A line of vehicles (ring/infinite lane)

- Stable homogeneous solutions  $(s, v) \in \mathbb{R}_+^2$

$$\left| \begin{array}{ll} x_{i+1}(t) - x_i(t) & \rightarrow s \\ \dot{x}_i(t) & \rightarrow v \end{array} \right. \quad \text{as } t \rightarrow \infty \quad \text{for all } i$$

- Consideration of local, convective and advective perturbations
- Control of the system stationary state



<sup>1</sup>see for instance Darbha et al. 2009; Kikuchi et al. 2003; Zhou et al. 2005; Paden et al. 2016

# Homogenisation

**Homogenisation:** *Monotone* convergence of the system to the homogeneous solution (Monneau & Forcadel, 2014)

- Control of the **transient and stationary states** of the system
- **Bounds of minimal speed and spacing**

## Principle : constraints on the model's parameters

- Invariance principle for spacing variables
- Comparison principle on the invariant sets
- Convergence of the system to homogeneous solution by up- and down-bounds

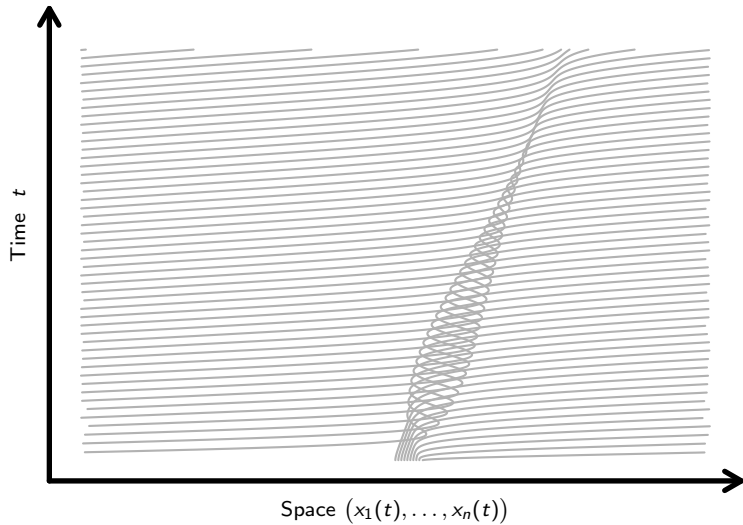
**Example:** Optimal velocity model (OVM)

$$\ddot{x}_i(t) = \frac{1}{\tau} (V(s_i(t)) - \dot{x}_i(t))$$

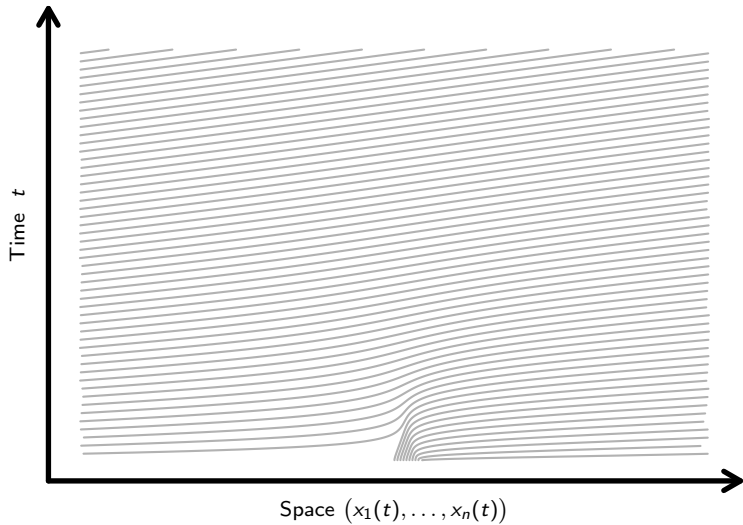
Global stability :  $\tau V'(s) < 1/2$

Homogenisation :  $\tau V'(s) < 1/4$

## Stability

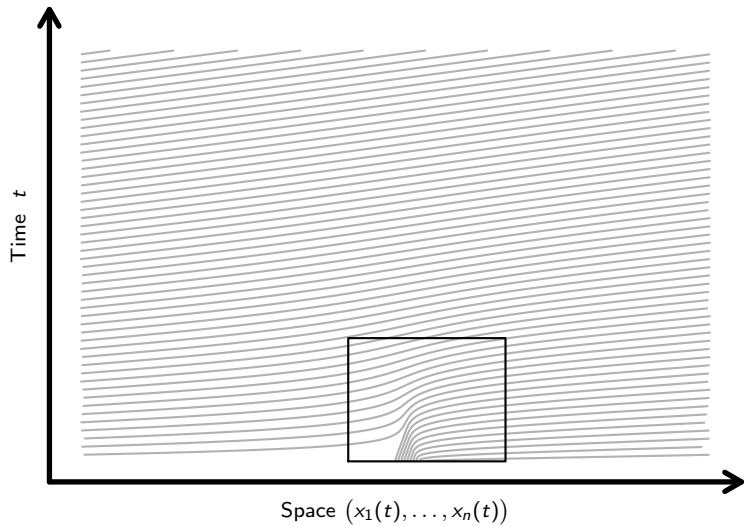


## Stability + Homogenisation

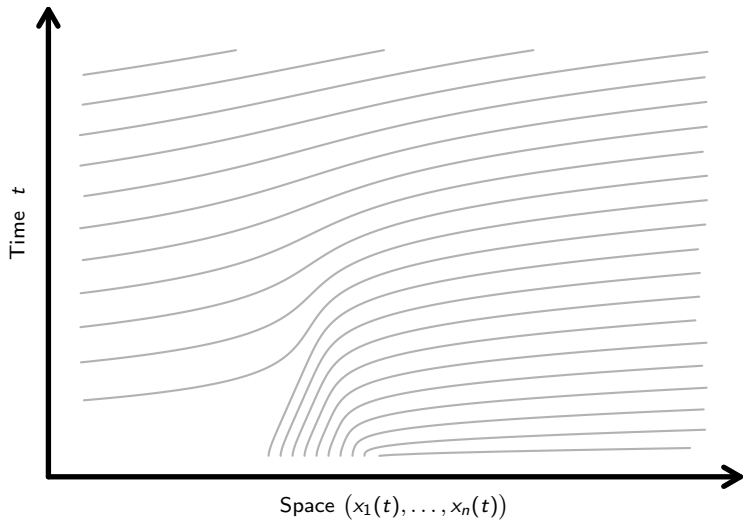




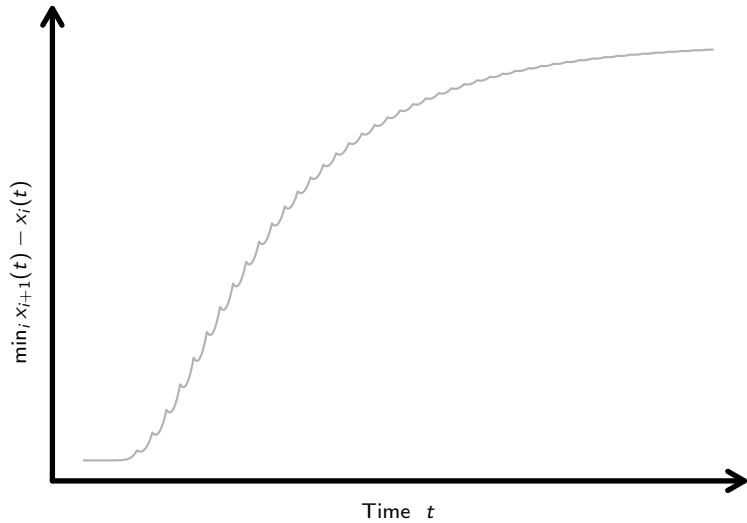
## Stability + Homogenisation



## Stability + Homogenisation



## Stability + Homogenisation



- └ Control and safety
- └ Functional safety

## Safety for automated vehicles

The **safety** is a central aspect of connected and automated vehicles

### Essential argument

- **for** the development of automated vehicle (more than 90 % of the accident due to human errors; Singh, 2014),
- and **against** : safety of autonomous vehicles still need to be proven

Biggest risk sources for autonomous vehicles : **collisions** (Lefèvre et al., 2014)

**Potential high severity of the damage** in case of collision (injure, fatality)

→ Depends on the speed and type of collision

**Very low exposure**

- └ Control and safety
- └ Functional safety

## Limit of the empirical evaluation

Even if many accidents in road traffic occur, the **probability for a accident with injures or fatalities per unit of distance is very low.**

- Example USA:
- Injure-rate is around 40 per 100M kilometres
  - Fatality-rate is around 0.7 per 100M kilometres

**Example** (Kalra and Paddock, 2015): we have to observe without accident 100 autonomous vehicles driving 24h a day and 365 days a year during

<b>4 months</b>	(injure)	or	<b>19 years</b>	(Fatality)
12M km			658M km	

to statistically prove that injure- and fatality-rate of the autonomous vehicles is smaller than the rate of conventional vehicles.

Connected and automated vehicles are **technologies in development**

- **Empirical evaluation of the safety not suitable**

- └ Control and safety
- └ Functional safety

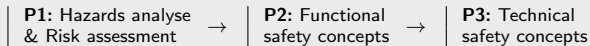
## Functional safety from the ISO 26262 standard

**Standardisation** (Schlummer, 2014): IEC 61508 (generic norm), ISO 26262 (automotive area) or companies and associations' directives, ...

**ISO 26262-3 und 26262-4**: Functional safety for the concept and development phases of E/E systems in road cars

→ **Completeness and consistence problem**

*For all items and all driving situations :*



- ▶ **Exhaustive listing** of all driving situations and associated potential hazards (AMDEC, dependability, situation classification)
- ▶ Risk assessment : **ASIL risk classification scheme** as function of *Severity, Exposure, Controllability*

- └ Control and safety
- └ Functional safety

## Classification of the driving situations

**Discrete (categorical) descriptions** of the driving situations according to (Warg et al., 2014; Jang et al., 2015; VDA, 2015b) :

- |                       |   |
|-----------------------|---|
| ▶ <b>Vehicle</b>      | speed, direction, state, mode, manoeuvre, ...         |
| ▶ <b>Road</b>         | road type, surface type, curving, slope, ...          |
| ▶ <b>Neighborhood</b> | infrastructure, vehicles, pedestrians, obstacles, ... |
| ▶ <b>Environment</b>  | weather, luminosity, temperature, ...                 |

Driving situations, environment and potential hazards are **numerous and varied** : they can only exhaustively be described in **specific simple conditions**.

→ Example – Driving in **highways** : *following, lane keeping, lane changing*

Driving situations in **urban** or **peri-urban** are **more complex**.

- └ Control and safety
- └ Functional safety

## Safety concepts

**Functional safety concept:** *Collision avoidance systems*

→ *Controllability* part of the ASIL risk classification

### Technical safety concepts

► **Emergency protocols**

System failure: failure detection, emergency breaking  
Unexpected event: emergency avoidance procedure  
(*reactive control*, Binfet-Kull et al. 1998).

► **Driving situation analysis**

Setting of safe conditions for all manoeuvres  
(mathematical criteria based on distances, speeds...)

► **Redundancy**

Sensing : Sensor/camera/GPS/carte fusion (SLAM)  
Motion planning : use of several planners  
Actuation : for instance steering through stereo-breaking



- └ Control and safety
- └ Functional safety

## Functional safety for autonomous vehicles: limit

**Main difference with autonomous vehicles (Warg et al., 2014):**

- ▶ *Conventional vehicle*: **the driver is responsible** for the vehicle control.
- ▶ *Autonomous vehicle*: **the automated driving system is responsible**.

→ **Exhaustive listing of all driving situations and hazards** with autonomous vehicle at the levels L3, L4 or L5 is **not possible**.<sup>2</sup>

« *The higher complexity and the partly implicit definition of the tasks [of autonomous vehicles] for the E/E systems will make it harder to argue completeness and correctness of the safety requirements in each phase of the ISO 26262 life-cycle.* »  
(Bergenheim et al., 2015).

« *Vehicle-level testing won't be enough to ensure safety. It has long been known that it is infeasible to test systems thoroughly enough to ensure ultra-dependable system operation. [...] Thus, alternate methods of validation are required, potentially including approaches such as simulation or formal proofs* »  
(Koopman und Wagner, 2016).

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<sup>2</sup>Warg et al., 2014; Bergenheim et al., 2015; Johansson, 2016; Koopman und Wagner, 2016.

- └ Control and safety
- └ Functional safety

## Dynamic safety analysis

Development of **specific tools for the safety** aiming to take into account the **varied dynamical aspect** of the driving

- ▶ Working group *safety of the intended function (SoTIF)* in the revision of the ISO 26262 standard

### Examples :

- **Dynamic evaluation of the safety** with temporal indicators as **Time-to-Collision**, **Time-to-React** or **Time-Gap** (Tamke et al., 2011; Berthelot et al., 2012)
- Dynamic detection of **unusual events** or **conflictual manoeuvres** (Lefèvre, 2014)
- **Mathematical analyse** of the collision possibilities; Development of **robust collision-free models and avoidance techniques** (Zhou und Peng, 2005)
- **Real-time trajectories predictions** by **simulations** (Eidehall und Petersson, 2008; Ammoud et al., 2009; Chen und Chen, 2010; P. Olivares et al., 2016)

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**Advanced driver assistance systems** are growing up equipments proposed by manufacturers or automotive suppliers

- Improvement of the **safety** and the **driving comfort**
- Levels L1 and L2 of automation

**Progressive transition to connected and autonomous vehicles** (Blosseville, 2015)

- ▶ **Autonomous Vehicles** Level L3 of automation (autonomous highway driving)
  - High intelligence of the embedded systems (perception, map)
- ▶ **Connected vehicles** Autonomy + Connectivity — Level L4
  - Formalisation of the driving in different contexts (highway, peri-urban, urban)
  - Deployment of V2X communications
- ▶ **Integrated vehicles** Connected + Cooperation with the infrastructure — Level L5
  - High performances on networks (optimal affectation)
  - Safety solution at high speed and in complex 2D contexts (mixed urban traffic)

## Challenges

Full driving automation depends on the **advances of intelligent transportation systems, sensor and connectivity technologies, and computational capacity** (Blosseville, 2015)

- ▶ Motion in **complex 2D urban environments with mixed traffic**
  - Driving situation **very varied** / Driving behavior **few structured** (Saad, 1987)
  - Complicated algorithms for the perception and the motion (machine learning, neural networks, ...) for which the **reliability is hard to estimate**.
  - *Long time* anticipation
- ▶ Autonomous vehicles to **avoid crashes due to human errors**. Yet most of the time, human driving is free of accident.
  - Challenge for automated cars: **replicate the crash-free performance** of human drivers. New type of crashes may emerge (ITF, OECD).
- ▶ Full autonomous vehicles (level L5) on **personal rapid transit systems**
  - Own infrastructure and driving rules
  - Increase of the mobility

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## Empirical evaluation of the accident-rate

- ▶  $p$  is the probability of accident for autonomous vehicles.
- ▶  $p_0$  is the probability of accident in real traffic.

$D$  is the collision-free traveled distance; it has a geometric distribution with parameter  $p$ . Therefore  $P(D \leq n) = 1 - (1 - p)^n$ .

We test

$$H_0 = \{p \geq p_0\}.$$

For a given traveled time  $n$ , we reject  $H_0$  if  $R_n = \{D > n\}$ .

The probability of a false-positive is then

$$P_{H_0}(R_n) = 1 - P_{H_0}(D \leq n) \leq 1 - P_{p=p_0}(D \leq n) = (1 - p_0)^n = \alpha.$$

We have  $p < p_0$  with confidence-level  $1 - \alpha$  if

$$n \geq \frac{\ln(\alpha)}{\ln(1 - p_0)}.$$

## Example of driving situation classification (H. Jang et al., 2015)

Factor	Sub-factor	Element	State
Vehicle	Driving Speed		Very Slow, Slow, Normal and Fast
	External Attachment		Without/with external attachment
	Operational Mode		Driving, Parking, Fuelling, Repairing
	Maneuver	Engine	On, Off
		Velocity	Accelerating, Constant, Decelerating
		Direction	Lane Keeping, Lane Changing, Turning
		Movement	Stop, Forward, Backward
Road	Linearity		Straight, Curved
	Slope		Plain, Sloped
	Layout		Invisible (blocked) , Visible (unblocked)
	Coarseness		Paved, Unpaved, Troublesome
	Nearby Elements	Obstacle	Clean, Obstacle
		Traffic	Smooth flow, Congestion
		Pedestrians	No, A Few, Many
Environment	Surface		Clear, Water ( by rain etc), Snow/Ice
	Visibility		Dark, Bright, Foggy
	Temperature		Low, Medium, High
	Momentum		Windy, Calm